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PTO/SB21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/686,886
Filing Date	October 15, 2003
First Named Inventor	Christopher Rygaard
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	1010722-991141

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Associate Power of Attorney,	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> <i>Information Disclosure Statement (2 pp.)</i> <i>Form PTO-1449 (1 pg.)</i> IDS References; 5 Articles Certificate of First Class Mailing; Return postcard
Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/>	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Frerichs
Signature	
Date	March 18, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date

Typed or printed name	Cathy Pittman
Signature	
Date	March 18, 2004

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MAR 22 2004
AUGUSTA, VA

INFORMATION DISCLOSURE STATEMENT

Applicant:	Christopher Rygaard
Application No.	09/686,886
Filed:	October 15, 2003
For:	JUMPING APPLICATION SECURITY SYSTEM
Group Art Unit:	2131
Examiner:	Unknown
Attorney Docket No.:	1010722-991141

Commissioner of Patents and Trademarks
P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) accompanies a new patent application submitted herewith.
- (b) is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
- (c) after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
- (d) is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
- (e) is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: March 18, 2004

GRAY CARY WARE & FREIDENRICH LLP

By: Timothy W. Lohse
Reg. No. Timothy W. Lohse, Reg. No. 35,255
Attorneys for Applicant(s)

East Palo Alto, CA 94303
650-833-2055

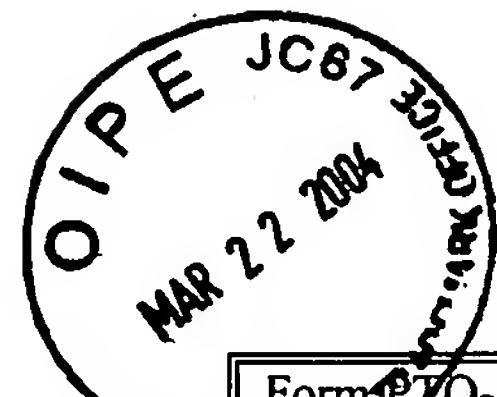
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March 18, 2004
Date


Cathy Pittman



Attorney Docket No.: 1010722-991141

Form PTO-1449 (modified 2/91)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 1010722-991141	Serial Number: 09/686,886
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Christopher Rygaard	
		Filing date: October 15, 2003	Group art unit: 2131

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	W.A. Jansen, "A Privilege Management Scheme for Mobile Agent Systems" NIST May 2001
	Wayne Jansen, "Countermeasures for Mobile Agent Security", NIST November 2000
	Wayne Jansen et al., "Privilege Management of Mobile Agents", NIST October 2000
	W. Jansen, "Intrusion Detection with Mobile Agents" Computer Communications Journal, Special Issue On Intrusion Detection October 2, 2001
	"Jumping Beans™ White Paper" Ad Astra Engineering, Incorporated December 1998

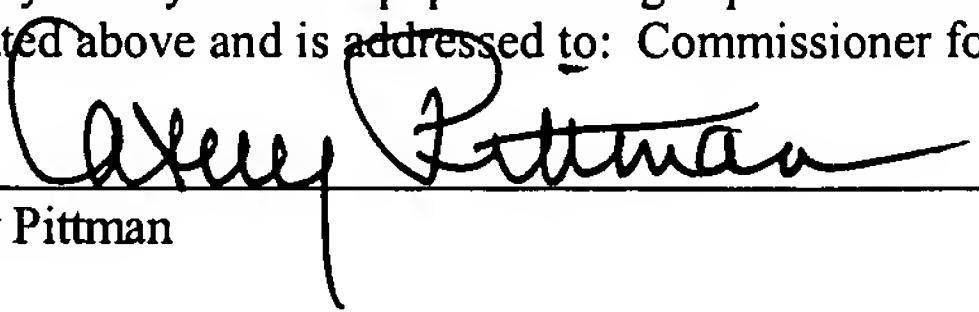
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Cathy Pittman

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COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Transmitted herewith for filing for the Application:

U.S. Patent Application S/N: 10/686,886 for
JUMPING APPLICATION SECURITY SYSTEM

FILED: October 15, 2003
INVENTOR(s): Christopher Rygaard
EXAMINER: Unknown
ART UNIT: Unknown

Enclosed are:

- [1] Transmittal Form;
- [2] Information Disclosure Statement;
- [1] PTO Form 1449;
- [5] IDS References: 5 Articles;
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard